

"PATENT"

AMENDMENT TRANSMITTAL FORM

U. S. S	Serial N Octob HIGH FUNC	o.: 10/678,468 er 3, 2003 VISCOSITY INDEX WI FIONAL FLUID COMPO	[810035] DE-TEMPERA OSITIONS ANI))))	Not A Confin	Art Unit:	mber: 3346	US2			
P.O. B	ox 1450	for Patents									
	dence w	dersigned hereby certificially be deposited as first ssioner for Patents, P.C.	-class mail wi	th the United S	State	s Posta	Service in	an envelope			
Transn	nittal her	ewith is an amendment/re	esponse in the a	bove-identified	appli	cation.					
Petitio extensi	n for exte	ension of time pursuant to ne is calculated to be \$	37 CFR 1.136	and 1.137 is he to extend the tir	reby ne fo	made, if r filing t	and to the e	extent, required until	. The fee for this		
The fe	e for any	changes in number of cla	aims has been ca	alculated as sho	wn b	elow.					
				CLAIMS AS AMEN							
	(1)	(2) Claims Remaining After Amendment	(3)	(4) Highest N Previously	umbe		(5) Present Extra	(6) Rate	(7)		
	Total Claims	*	Minus	**			-	x 18.00			
	Indep. Claims	*	Minus	***				x 86.00			
		E DEPENDENT CLAIM F					,,,,,,	\$290.00			
						FEE FOR CLAIM CHANGES					
	** If *** If	the entry in Column 2 is less the the "Higher Number Previously the "Highest Number Previouslor this INFORMATION DESTRICTION D	Paid For" IN THIS y Paid For" IN THI	S SPACE is less that S SPACE is less that	1 20, v in 3, w	vrite "20" vrite "3" in	this space.	and any extensi	on of time is		
X C	Charge _	\$\80.00 to De	posit Account N	No. 05-1330.							
re		missioner is hereby authory this paper, or credit any									
		Dune 18,	J00 (MA	L_			
DATE	OF SIG	GNATURE			-	ATTO	RNEY OX	GENT OF RE	CORD		
		Address: [to which co il Research and Enginee				NORE	BY L. FOSS	5			
P. (D. Box 9	00				Regist	ration No.	47,571			
An	nandale,	New Jersey 08801-090	U				Pursuant to	o 37 CFR 1.34	1(a)		
			27810			Facsimile No. (908) 730-3649					
		РАТ									



Susan Fleming Name of attorney or agent

MATTER STATES PATENT AND TRADEMARK OFFICE

I	n re Application of)	Before the Examiner
	Angela J. Keeney, et al.)	Not Assigned
	U. S. Serial No. 10/678,468	Ś	Confirmation Number: 3346
	Filed: October 3, 2003	Ś	Group Art Unit: 1764
	HIGH VISCOSITY INDEX WIDE-TEMPERATURE)	Group Art Omt. 1704
	FUNCTIONAL FLUID COMPOSITIONS AND)	E: 1 N P20021112 1122
N	METHODS FOR THEIR MAKING AND USE)	Family Number: P2002J112 US2
	Commissioner for Patents		
	P.O. Box 1450		
F	Alexandria, Virginia 22313-1450		
S	Sir:		
	· · · · · · · · · · · · · · · · · · ·		
	INFORMATION DISCLO	SUR	E STATEMENT
		001	
	Enclosed herewith is Form 1449. The	nis In	formation Disclosure Statement is
s	submitted prior to the First Office Action on the r	nerits	. Any fees due should be charged to
r	Deposit Account Number 05-1330.		
			Respectfully submitted,
06\53\5	004 EAREGAY1 00000088 051330 10678468		N.L.
01 FC:10	806 180.00 DA		
			Norby L/Foss
			Attorney for Applicant(s)
			Registration No. 47,571
			Telephone No. (908) 730-3644
			Pursuant to 37 CFR 1.34(a)
ı			
	I hereby certify that I have a reasonable basis for believing United States Postal Service as first class mail in an er	ng that	this correspondence will be deposited with the
	P.O. Box 1450, Alexandria, Virginia 22313-1450, on	.velope	June 18, 2004
		_	Date of Deposit

27810

27810
PATENT TRADEMARK OFFICE

ExxonMobil Research and Engineering Company P. O. Box 900 Annandale, New Jersey 08801-0900

ECB:sbf June 17, 2004



EMRE FORM - 1449

Sheet	1	of	4
JIICCI		OI	4

				Q	(CE)	ABI				Applican	Applicant(s)						
											Angela J. Keeney, et al.						
										U. S. Ser	ial Number	Filing Date					
			-	RMA							10/678,468		October 3, 2003				
	D	SCLO	SUF	RE S	ГАТ	EMI	ENT			Confirma	tion Number	Group Art I	Group Art Unit				
			_	_							3346		1764				
	(U	se seve	ral s	heets	ifn	eces	sary)			Attorney	Docket Number	Family Nur					
											NLF-0323 P2002J112 US2						
- · · -									U.S.	PATENT DO	CUMENTS						
Examiner Initial *		Document Number								Date	Name	Class	Sub- Class		ing ate		
	AA	US200	03/	01	0	0	4	5 3 A1		5/29/03	O'Rear	508	110				
	AB	US200	03/	01	4	1	2	2	0 A I	7/31/03	O'Rear et al.	208	79				
	AC	D US2003/ 02 E US2004/ 00 F 2 8		02	2	6	7	8	5 A I	12/11/03	Murphy et al.	208	18				
	AD			02	3	6	1	7	7 A I	12/25/03	Wu et al.	508	465				
	AE			00	4	5	8	6	8 A I	3/11/04	Germaine	208	58				
	AF			8	1	7	6	9	3	12/25/57	Koome et al.						
	AG			9	2	1	5	9	4	5/1/90	Miller	208	58				
	АН		4	5	9	4	1	7	2	6/10/86	Sie	252	55				
	Al		4	8	9	7	1	7	8	1/30/90	Best et al.	208	111				
	AJ		4	9	4	3	6	7	2	7/24/90	Hamner, deceased et al.	585	737				
								F	OREIG	SN PATENT I	OCUMENTS						
				Docu	ment	Nun	nber			Publica- tion Date	Country	Class	Sub- Class	Trans Yes	lation No		
	AK	EP	04	6	4	5	4		6 B1	19 Jul 95	European	C10G	45/64				
	AL	EP	04	6	4	5	. 4		7 B1	9 Jan 92	European	C10G	65/12				
	AM	GB	13	5	0	2	5		7	18 Apr 74	Great Britain	C10G	23/02				
OTHER	DISCI	OSURI	ES	(Inclu	de A	uthor	, Titl	e, D	ate, Per	rtinent Pages, I	Place of Publication, etc.)	•					
	AN																
Examiner		<u> </u>									Date Considered		-				
* Examin	er:	Initial if	citat	ion co	onsid NOT	ered,	where	her d. Ir	or not o	citation is in co	nformance with MPEP 609. m with next communication	Draw line thr to applicant(s	ough citatio	n if not	in		



27810
PATENT TRADEMARK OFFICE

										Applica	Applicant(s)					
											Angela J. Keeney, et al.					
										U. S. Se	rial Number	Filing Date	Filing Date			
		IN	FOR	MA	TIO	N					10/678,468	Oc	October 3, 2003			
	DI	SCLO	SUR	E ST	[AT]	EMI	ENT	1		Confirm	ation Number	Group Art U	Group Art Unit			
											3346		1764			
	(U	se seve	ral sl	neets	if no	ecess	sary)	1		Attorney	Docket Number	Family Nun	Family Number			
											NLF-0323	P2	P2002J112 US2			
									U.S.	PATENT DO	, <u> </u>					
Examiner				Doci	ımen	t Nu	mber			Date	Name	Class	Sub- Class		ing ate	
	AO		4	9	7	5	1	7	7	12/4/90	Garwood et al.	208	27		110	
	AP		5	0	7	5	2	6	9	12/24/91	Degnan et al.	502	77			
	AQ		6	0	5	9	9	5	5	5/9/00	Cody et al.	208	18			
	AR		6	0	8	0	3	0	1	6/27/00	Berlowitz et al.	208	18			
	AS		6	0	9	0	9	8	9	7/18/00	Trewella et al.	585	13			
	AT		6	1	0	3	0	9	9	8/15/00	Wittenbrink et al.	208	27			
	AU		6	1	6	5	9	4	9	12/26/00	Berlowitz et al.	598	363			
	AV		6	2	9	4	0	7	7 B1	1 9/25/01	Dougherty et al.	208	27			
								F	OREI	GN PATENT	DOCUMENTS					
]	Docu	ment	. Nun	nber			Publica- tion Date	Country	Class	Sub- Class	Trans Yes	lation No	
	AW	GB	13	9	0	3	5	;	9	9 Apr 75	Great Britain	C10G	13/08			
	AX	GB	14	2	9	4	9	<u> </u>	4	24 Mar 76	Great Britain	C10G	13/08			
	AY	GB	14	4	0	2	3	- (0	23 Jun 76	Great Britain	C10G	34/00			
	AZ	wo	99/	4	1	3	3		4	19 Aug 99	PCT	C10G	71/00			
	AA	wo	02/		8	7			3 A1	16 May 02	PCT	C10G	47/02			
OTHER	DISCL	OSURI	ES (Inclu	de Aı	uthor	, Titl	e, D	ate, Pe	rtinent Pages,	Place of Publication, etc.)					
	AB															
Examiner		•									Date Considered					
* Examin											onformance with MPEP 609			n if not	in	



A											Applicant(s)					
											Angela J. Keeney, et al.					
									ial Number Filing Date							
		IN	FOR	MAT	OI	N					10/678,468 October 3, 2			003		
	DIS	SCLO	SUR	E ST	ATI	EMI	ENT			Confirma	tion Number	Group Art U	Group Art Unit			
											3346	1764				
	(Us	se seve	ral sh	neets i	f ne	cess	ary)			Attorney	Docket Number	Family Nun	nber			
											NLF-0323	P2002J112 US2				
									U.S.	PATENT DO	CUMENTS					
Examiner							,		•		.,		Sub-	Fili	_	
Initial *		-	$\neg \top$	Docu	men	t Nu	mbe	r 	Τ	Date	Name Name	Class	Class	Da	ite	
-	AC		6	3	9	8	9	4	6 B1	6/4/02	O'Rear et al.	208	64			
	AD		6	4	6	8	4	1	8 B1	10/22/02	Biscardi et al.	208	299			
	AE		6	5	0	6	2	9	7 B1	1/14/03	Wittenbrink et al.	208	18			
	AF		6	5	1	8	4	7	3 B2	2/11/03	Miller et al.	585	517			
l	AG		6	5	7	9	4	4	1 B1	6/17/03	Biscardi et al.	208	18			
	АН		6	6	0	5	2	0	6 B1	8/12/03	Johnson et al.	508	80			
	ΑI		6	6	2	0	3	1	2 B1	9/16/03	Murphy et al.	208	58			
	AJ		6	6	2	3	6	· 2	4 B2	9/23/03	Cash et al.	208 89				
								F	OREIC	ON PATENT I	OCUMENTS					
			I	Docum	nent	Nun	nber			Publica- tion Date	Country Class Sub- Class		Translation Yes No			
	AK	wo	02/	4	8	2	2 9	9 (0 A1	20 Jun 02	PCT	C10G	65/04			
_	AL	wo	02/	06	4	7	7	1 0	0 A2	22 Aug 02	PCT	C10M	101/02			
	AM	wo	02/	06	4	7	,		1 A1	22 Aug 02	PCT	C10M	169/04			
	AN	wo	02/	07	0	6	5 2	2	7 A2	22 Aug 02	PCT	C10G	65/00			
OTHER I	DISCL	OSURI	ES (I	Includ	e Au	ithor	, Titl	e, D	ate, Pe	rtinent Pages, P	lace of Publication, etc.)					
	AO												·,			
Examiner				· <u></u>							Date Considered					
* Examine											nformance with MPEP 609.			ı if not	in	



·			_							Applicant	Applicant(s)					
											Angela J. Keeney, et al.					
						_				U. S. Seri	al Number	Filing Date				
	D.1/		_	MA'			> 10	_		C	10/678,468		October 3, 2003			
	Dis	SCLO	SUK.	EST	AT	EMI	ENT	ſ		Confirma	Confirmation Number		Group Art Unit			
(Use several sheets if necessary)											3346	1764				
	(Us	se seve	ral sr	neets	if ne	eces	sary)		Allomey	Attorney Docket Number		Family Number			
II C DAT											NLF-0323 P2002J112 US2					
· <u>·</u>	· · · · · ·								U.S.	PATENT DO	CUMENTS	1		1 7711		
Examiner Initial *			· · · · ·	Doc	umer	nt Nu	ımbe	er	1	Date	Name	Class	Sub- Class	Fili Da		
	AP		6	6	2	7	7	7	9 B2	9/30/03	O'Rear	585	302			
	AQ		6	6	7	6	8	2	7 B2	2 1/13/04	Murphy et al.	208	18			
	AR		6	6	8	6	5	1	1 B2	2 2/3/04	Miller et al.	585	519	,		
	AS		6	7	0	2	9	3	7 B2	2 3/9/04	Johnson et al.	on et al. 208				
	AT	6 7 0 6 9 3		6 B2	2 3/16/04	O'Rear et al.	585 330									
	AU	6 6		9	9	3	8	5 B2	2 3/2/04	Miller	208	27		-		
	AV	1	0/	6	7	8	4	5	7	10/3/03	Fyfe et al.					
	AW	1	0/	6	7	8	4	6	8	10/03/03	Keeney et al.					
	AX	1	0/	6	7	8	5	4	7	10/03/03	Carey et al.					
								F	OREIC	GN PATENT I	OCUMENTS					
]	Docu	ment	Nur	nber			Publica- tion Date	Country	Class	Sub- Class	Transl Yes	lation No	
	AY	wo	02/	07	()	6	3	0 A1	12 Sep 02	PCT	C10G	65/12			
	AZ	wo	02/	07	()	6	3	1 A2	12 Sep 02	PCT	C10G	65/16			
	AA	wo	02/	07	C)	6	3	6 A 1	12 Sep 02	PCT	C10M	101/02			
	AB	wo	02/	4	6	5	3	3	3 A2	13 Jun 02	PCT	C10G				
OTHER	DISCL	OSURI	ES (Inclu	de A	utho	r, Tit	tle, Γ	Date, Pe	rtinent Pages, F	Place of Publication, etc.)		·			
	AC															
Examiner											Date Considered					
* Examine											nformance with MPEP 609. m with next communication			n if not	in	



PATENT TRADEMARK OFFICE